# Quantum information and applications

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#### Part I

# Sophie Laplante

# 1 Introduction

# 1.1 Qbits

- A classical bit is a variable  $b \in \{0, 1\}$ .
- ullet A random bit is a random variable  $r\in [0,1]^2$  with  $r_0+r_1=1$ , by  $L_1$  normalization.
- ullet A quantum bit, or qubit, is a complex pair  $q\in\mathbb{C}^2$  with  $|q_0|^2+|q_1|^2=1$ , by  $L_2$  normalization.

### **Definition 1.1** Hilbert space

A Hilbert space  $\mathcal{H}_N$  is a N-dimensional complex innerproduct space with a norm induced by innerproduct.

Where

# **Definition 1.2** Complex innerproduct

The complex innerproduct of u,v is  $\langle u,v\rangle=u^\dagger v$ , where  $\dagger$  is the conjugate transpose operator. The norm induced is  $\|u\|:=\sqrt{\langle u,u\rangle}$ .

We use Dirac's notation "bra-ket"  $\langle \dots \rangle$ .

For the column vectors:  $|\psi\rangle$ 

And for the row vectors:  $\langle \phi | := |\phi \rangle^{\dagger}$ .

One qubit is 2 complex normalized numbers. It is a 2 dimensional vector  $u=\begin{pmatrix} \alpha \\ \beta \end{pmatrix}$ , with  $\alpha,\beta\in\mathbb{C}$ .

A computational basis is  $B = \left\{ \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right\}$ .

# Example 1.1

If 
$$u=\begin{pmatrix}1/\sqrt{2}\\1/\sqrt{2}\end{pmatrix}$$
, we have that  $\|u\|=1$ , and in Dirac's notation,  $|0\rangle=\begin{pmatrix}1\\0\end{pmatrix}$  and  $|1\rangle=\begin{pmatrix}0\\1\end{pmatrix}$  so  $u=\frac{1}{\sqrt{2}}|0\rangle+\frac{1}{\sqrt{2}}|1\rangle$ .

- Two classical bits represents 4 configurations:  $x \in \{0,1\}^2$ .
- Two random bits reprensents 4 configurations, and each has some probability of occuring:  $R \in [0,1]^4$  with  $\sum_i R_i = 1$ .
- ullet For two qubits:  $Q\in\mathbb{C}^4$  with  $\sum_i|Q_i|^2=1$ .

In Dirac's notation, a basis is  $B=\{|0\rangle,|1\rangle,|2\rangle,|3\rangle\}$ . We also write in binary:  $B=\{|00\rangle,|01\rangle,|10\rangle,|11\rangle\}$ , with  $|x\rangle$  being a vector full of 0 except in the row x where there is a 1.

#### Example 1.2

$$\frac{1}{\sqrt{2}}|00\rangle + \frac{1}{\sqrt{2}}|11\rangle = \left(\begin{array}{c} 1/\sqrt{2} \\ 0 \\ 0 \\ 1/\sqrt{2} \end{array}\right)$$

# Measurements

- In a classical system, measurements don't affect the description of the system.
- In a random system, with  $r \in [0,1]^2$ , observing the random bit makes it "collapse": it changes our knowledge of the state and therefore its description.
- In a quantum system, the measurement causes the system to "collapse". If  $|\psi\rangle=\alpha|0\rangle+\beta|1\rangle$ , then the measurement in the standard basis will change the system. With probability  $|\alpha|^2$  the outcome is 0 and the state changes to  $|0\rangle$ , and with probability  $|\beta|^2$  the outcome is 1 and the state changes to  $|1\rangle$ .

# **Definition 1.3** Tensor product

The tensor product  $A \otimes B$  is a product block by block.

For example with 
$$A=\left(\begin{array}{cc} u & v \\ w & x \end{array}\right)$$
,  $A\otimes B=\left(\begin{array}{cc} uB & vB \\ wB & xB \end{array}\right)$ .

Here, for a n qubit  $|\varphi\rangle\in\mathbb{C}^{2^n}$ , a basis can be given by

$$\{|b_1\rangle\otimes\ldots\otimes|b_n\rangle\,|\,b_i\in\{0,1\}\}.$$

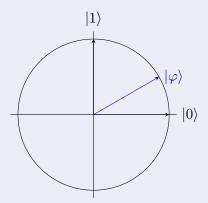
So we have a computational basis:

$$\{|b_0b_1...b_{n-1}\rangle \mid b_i \in \{0,1\}\}.$$

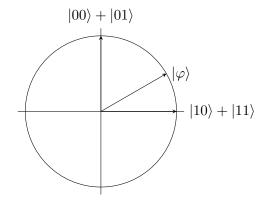
these are also written  $|i\rangle$  with  $0 \le i \le 2^n - 1$ .

#### **Definition 1.4** Measurement

If  $|\varphi\rangle \in \mathcal{H}_N$ , and we have a (orthonormal) basis  $\mathcal{B} = \{|B_1\rangle, ..., |B_N\rangle\}$ , then measuring  $|\varphi\rangle$  in  $\mathcal{B}$ , the outcome will be i with probability  $|\langle \varphi | B_i \rangle|^2$ , and the state after measurement is  $|B_i \rangle$ .



It is also possible to perform partial measurements. For a 2-qubit system, 
$$|\varphi\rangle=\underbrace{a_{00}|00\rangle+a_{01}|01\rangle}_{\text{1st qubit is 0}}+\underbrace{a_{10}|10\rangle+a_{11}|11\rangle}_{\text{1st qubit is 1}}$$



The measurement of the first qubit will project onto one of the two orthogonal subspaces:  $span\{|00\rangle, |01\rangle\}$ and span $\{|10\rangle, |11\rangle\}$ .

With a probability  $|a_{00}|^2 + |a_{01}|^2$  it projects onto  $\frac{|0\rangle \otimes (a_{00}|0\rangle + a_{01}|1\rangle)}{\sqrt{|a_{00}|^2 + |a_{01}|^2}}$ .

### Example 1.3

Let 
$$|\varphi\rangle = \frac{1}{\sqrt{2}}|00\rangle + \frac{1}{\sqrt{2}}|11\rangle$$
.

Measuring the first qubits gives with probability  $(\frac{1}{\sqrt{2}})^2$  the outcome 0 and the state collapses to  $\frac{1/\sqrt{2}|00\rangle}{1/\sqrt{2}} = |00\rangle.$ 

#### Bipartite systems 1.3

Consider a state  $|\psi\rangle$  of 2n qubits shared by 2 players A and B.

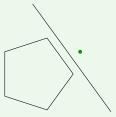
#### **Definition 1.5** Separability

 $|\psi\rangle$  is separable iff  $\exists |\psi_A\rangle \in \mathcal{H}_{2^n}, |\psi_B\rangle \in \mathcal{H}_{2^n}, |\psi\rangle = |\psi_A\rangle \otimes |\psi_B\rangle$ . Otherwise,  $|\psi\rangle$  is said to be entangled.

This is similar to a probability distribution having two random variables (X,Y) being independent.

#### Application 1.1 Entanglement $\neq$ correlation

The article from Einstein, Podelsky and Rosen of 1935 asks if quantum mechanics is complete. In 1963, Bell, in order to demonstrate that nature is not just driven by probabilities, drove an experiment whose observations are a probability distribution, and showed that this probability distribution does not live in the space of distributions that have a classical explanation.



#### EPR paradox 1.4

#### **Definition 1.6** "EPR paradox" (version of Bohm in 1951)

Two players share  $|\varphi\rangle = \frac{1}{\sqrt{2}}|00\rangle - \frac{1}{\sqrt{2}}|11\rangle$ , and play the following game. A gets a bit  $x \in \{0,1\}$  and measures the first qubit and gets an outcome  $a \in \{0,1\}$ . Similarly, Bgets  $y \in \{0,1\}$  and measures  $b \in \{0,1\}$ .

NB

A strategy is a random source R and two deterministic functions  $A(r_A,x)\mapsto 0$  or 1 and  $B(r_B,y)\mapsto 0$  or 1.

The ressources allowed in a classical game are a shared random source of classical correlation:  $r_A, r_B \sim R$ , independent of the input x and y.

In the quantum settings they share some entangled bipartite state  $|\psi_{AB}\rangle$ .

#### Theorem 1.1

Any classical strategy wins with probability  $\leqslant \frac{3}{4}$ .

The best deterministic strategy (fixing  $r_A$  and  $r_B$ ) is for A and B to always output 0. They win with probability  $\leqslant \frac{3}{4}$ .

So any general strategy is a mixture of deterministic strategies and cannot win with probability  $> \frac{3}{4}$ .

#### Theorem 1.2

There exists a quantum strategy that wins the game with probability  $pprox 0.85\gg rac{3}{4}.$ 

#### Proof of theorem 1.2.

Suppose A and B share a state  $|\psi\rangle=\frac{1}{\sqrt{2}}(|00\rangle-|11\rangle)$ . They win if  $a\oplus b=x\wedge y$ . A will apply a rotation to her qubit:

$$R_{\theta_A} = \begin{pmatrix} \cos \theta_A & -\sin \theta_A \\ \sin \theta_A & \cos \theta_A \end{pmatrix}$$

Similarly, B applies a rotation  $R_{\theta_B}$ . Globally,

$$\frac{1}{\sqrt{2}}(|00\rangle - |11\rangle) \to \frac{1}{\sqrt{2}}R_{\theta_A} \otimes R_{\theta_B}(|00\rangle - |11\rangle)$$

$$\begin{aligned} |\psi_{00}\rangle &= (R_{\theta_A} \otimes R_{\theta_B})(|0\rangle \otimes |0\rangle) \\ &= R_{\theta_A}|0\rangle \otimes R_{\theta_B}|0\rangle \\ &= (\cos\theta_A|0\rangle + \sin\theta_A|1\rangle) \otimes (\cos\theta_B|0\rangle + \sin\theta_B|1\rangle) \end{aligned}$$

$$|\psi_{11}\rangle = (R_{\theta_A} \otimes R_{\theta_B})|11\rangle$$
  
=  $(-\sin\theta_A|0\rangle + \cos\theta_A|1\rangle) \otimes (-\sin\theta_B|0\rangle + \cos\theta_B|1\rangle)$ 

$$\frac{1}{\sqrt{2}}(|\psi_{00}\rangle - |\psi_{11}\rangle) = \frac{1}{\sqrt{2}} \left[ (\cos\theta_A \cos\theta_B - \sin\theta_A \sin\theta_B) |00\rangle + (\sin\theta_A \sin\theta_B - \cos\theta_A \cos\theta_B) |11\rangle + \dots \right]$$

$$= \frac{1}{\sqrt{2}} \left[ \cos(\theta_A + \theta_B) |00\rangle - \cos(\theta_A + \theta_B) |11\rangle + \dots \right]$$

$$= \frac{1}{\sqrt{2}} \left( \cos(\theta_A + \theta_B) |00\rangle - |11\rangle \right]$$

$$+ \sin(\theta_A + \theta_B) [|01\rangle + |10\rangle])$$

Then they measure both qubits.

$$\mathbb{P}(\text{outcome is }00) = \frac{1}{2}\cos^2(\theta_A + \theta_B)$$

...

$$\mathbb{P}(\text{outcome is }01) = \frac{1}{2}\sin^2(\theta_A + \theta_B)$$

So  $\mathbb{P}(a=b) = \cos^2(\theta_A + \theta_B)$ .

$$\begin{array}{c|ccc} y=0 & y=1\\ \theta_B=\frac{-\pi}{16} & \theta_B=\frac{3\pi}{16} \\ \hline x=0 & \text{win if } a=b & \text{win if } a=b \\ \theta_A=\frac{-\pi}{16} & \frac{-\pi}{8} & \frac{\pi}{8} \\ \hline x=1 & \text{win if } a=b & \text{win if } a\neq b \\ \theta_A=\frac{3\pi}{16} & \frac{\pi}{8} & \frac{3\pi}{8} \\ \hline \end{array}$$

These values for  $\theta_a$  and  $\theta_B$  give the best probability to win.

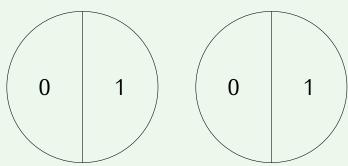
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# Theorem 1.3 (Tsirelson)

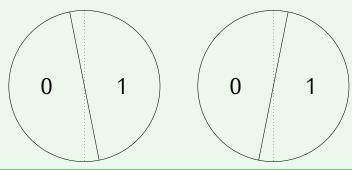
There is no better quantum strategy to win this game.

#### Illustration

Originally the qubits are the same.



But after the rotation



# 2 Evolution, circuits, superdense coding, teleportation

# 2.1 Evolution

ullet In the classical randomised case, the operations are applied on probabilistic vectors and maintain the  $L_1$  norm. These are stochastic matrices.

• In the quantum case, we use unitary matrices.

#### **Definition 2.1** Unitary matrices

U is unitary iff  $U^tU=I$ , or equivalently  $\forall x, \|Ux\|_2=\|x\|_2$ .

If we restrict to real number, these are orthogonal matrices.

# Example 2.1 Hadamard matrix

The Hadamard matrix is defined by  $H=\frac{1}{\sqrt{2}}\begin{pmatrix}1&1\\1&-1\end{pmatrix}$ . Then  $H|0\rangle=\frac{1}{\sqrt{2}}(|0\rangle+|1\rangle)$  and  $H|1\rangle=\frac{1}{\sqrt{2}}(|0\rangle-|1\rangle)$ .

#### Exercise 2.1

- 1. Compute  $H \otimes H$ .
- 2. Generalize to  $H^{\otimes n} = \underbrace{H \otimes ... \otimes H}_{n \text{ times}}$ .
- 3. Compute  $H^{\otimes n}|0...0\rangle$  and  $H^{\otimes n}|x_1...x_n\rangle$ .

# 2.2 Circuits

It is not easy to use quantum Turing machine because we could be in a superposition of terminal and non terminal states. Therefore we work more on circuits. However circuits can solve undecidable problems, so a constraint on them will be that a Turing machine must be able to generate it.

### Definition 2.2 Hadamard gate

The circuit

$$|\varphi\rangle$$
 —  $H$  —  $|\varphi'\rangle$ 

computes  $|\varphi'\rangle = H|\varphi\rangle$ .

## **Definition 2.3** Not gate

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$X|0\rangle = |1\rangle$$

$$X|1\rangle = |0\rangle$$

$$X|1\rangle = |0\rangle$$

**Definition 2.4** Phase flip

$$Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$
$$Z|0\rangle = |0\rangle$$
$$Z|1\rangle = -|1\rangle$$

#### Definition 2.5 Cnot gate

$$|a,b\rangle \mapsto |a,b\oplus a\rangle$$
 $a \longrightarrow a$ 
 $b \longrightarrow a \oplus b$ 

#### Exercise 2.2

Write out the corresponding unitary matrix.

# Exercise 2.3

Compute the output of



#### Superdense coding (Bennet & Wiesner (1992)) 2.3

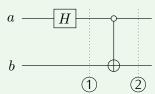
Superdense coding is a protocol in which A conveys two classical bits to B by sending one qubit to B.

They share a bipartite state  $\frac{1}{\sqrt{2}}(|00\rangle+|11\rangle)$  before the protocol begins.

Holevo's theorem (1973) Theorem 2.1

You cannot encode more than n classical bits on n qubits.

# Example 2.2



For the first step:

For the first step: 
$$|00\rangle \mapsto \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \otimes |0\rangle = \frac{1}{\sqrt{2}}(|00\rangle + |10\rangle)$$

$$|00\rangle \mapsto \frac{1}{\sqrt{2}}(|01\rangle + |10\rangle)$$

$$|00\rangle \mapsto \frac{1}{\sqrt{2}}(|00\rangle - |11\rangle)$$

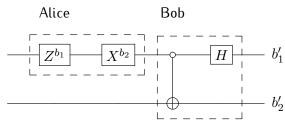
$$|00\rangle \mapsto \frac{1}{\sqrt{2}}(|01\rangle - |10\rangle)$$

**Definition 2.6** Bell states

$$|\varphi^{+}\rangle = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$$
$$|\varphi^{-}\rangle = \frac{1}{\sqrt{2}}(|00\rangle - |11\rangle)$$
$$|\psi^{+}\rangle = \frac{1}{\sqrt{2}}(|01\rangle + |10\rangle)$$
$$|\psi^{-}\rangle = \frac{1}{\sqrt{2}}(|01\rangle - |10\rangle)$$

A wants to send the bits  $b_1$  and  $b_2$  to B.

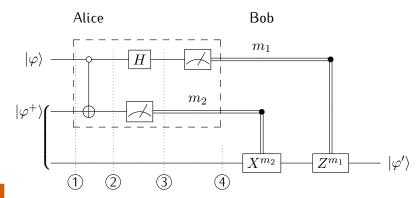
With  $Z^b=Z$  if b=1 and  ${\cal I}$  otherwise, the protocol is the following.



We have that  $b_1 = b'_1$  and  $b_2 = b'_2$ .

# 2.4 Teleportation (Bennet, Brassard, Crépeau, Jozsa, Peres, Wooters (1993))

A has a qubit  $|\varphi\rangle$ . She wants to transmit it to B using two classical bits and one ebit (B shares an entagled pair prior to the protocol).



#### Result 2.2

$$|\varphi'\rangle = |\varphi\rangle$$

#### Proof of result 2.2.

1. 
$$|\varphi\rangle\otimes|\varphi^{+}\rangle = \frac{1}{\sqrt{2}}(\alpha|000\rangle + \beta|100\rangle + \alpha|011\rangle + \beta|111\rangle)$$

2. = 
$$\frac{1}{\sqrt{2}}(\alpha|000\rangle + \beta|110\rangle + \alpha|011\rangle + \beta|101\rangle)$$

3. = 
$$\frac{1}{2}(\alpha|000\rangle + \beta|001\rangle + \alpha|100\rangle + \beta|101\rangle + \alpha|011\rangle + \beta|010\rangle + \alpha|111\rangle + \beta|110\rangle)$$

Voilà!

# 2.5 (Simplified) Holevo's theorem

10 30

# **Definition 2.7** Shannon entropy

Let X be a random variable with distribution over outcomes  $\{p_1,...,p_n\}$ . The Shannon entropy H(X) is defined by

$$H(x) = \sum_{i=1}^{n} -p_i \log_2(p_i).$$

### Definition 2.8 Mutual information

Let X and Y be random variables.

The mutual information I(X:Y) is defined by

$$I(X:Y) = H(X) - H(X \mid Y).$$

It measures how correlated the variables are.

### Property 2.3

$$I(X:Y) = I(Y:X)$$

### **Definition 2.9** Density matrix

For a source of quantum states  $\xi=\{(|\varphi_i\rangle,p_i)\}$ , the mathematical representation of such a state is a density matrix

$$\rho = \sum p_i |\varphi_i\rangle\langle\varphi_i|.$$

ho here is a "mixed" quantum state.

#### Example 2.3

If the  $|arphi_i
angle$  are basis elements then the corresponding density matrix is diagonal with trace 1.

# Definition 2.10 Von Neumann entropy

The von Neumann entropy  $S(\rho)$  of a density matrix is defined as

$$S(\rho) = -\text{Tr}(\rho \ln(\rho))$$

This can be defined since the density matrices are positive semi-definite and have trace 1, so  $\mathrm{Tr}(\rho \ln(\rho))$  means that we take the spectrum  $\lambda_1,...,\lambda_n$ ,

$$S(\rho) = -\sum_{i=1}^{n} \lambda_i \ln(\lambda_i).$$

# **Definition 2.11** (Holevo) Accessible information

For any ensemble  $^a\xi=\{(|\varphi_i\rangle,p_i)\}$ , the accessible information  $\chi(\xi)$  is defined by

$$\chi(\xi) = S(\rho) - \sum_{i} p_i S(|\varphi_i\rangle\langle\varphi_i|).$$

<sup>a</sup>It is a probability distribution.

#### Exercise 2.4

Prove that for any pure quantum state  $\varphi$ ,  $S(|\varphi\rangle\langle\varphi|) = 0$ .

It means that for these simple ensembles consisting of distributions over pures states, the accessible information is just  $S(\rho)$ . In this simplified case,  $\chi(\xi)$  is just  $S(\rho)$ .

#### Theorem 2.4 Simplified Holevo's theorem

If  $X \sim (p_1,...,p_n)$  (representing a source of symbols 1,...,n), and i is encoded as a pure quantum state  $|\varphi_i\rangle$  so that  $\rho = \sum_i p_i |\varphi_i \times \varphi_i|$  is the mixed state corresponding to sending  $|\varphi_i\rangle$  with probability  $p_i$ .

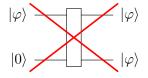
The receiver gets  $\rho$  and wants to recover i by making a measurement. Let Y be the outcome of the measurement.

Then  $I(X:Y) \leq \chi(\xi) = O(\log(\text{dimension of the space}))$ .

# 2.6 No cloning theorem

#### Theorem 2.5

There is no unitary u that can copy qubits:  $\forall |\varphi\rangle, u(|\varphi\rangle_n \otimes |0\rangle_n) = |\varphi\rangle \otimes |\varphi\rangle.$ 



#### Proof of theorem 2.5.

Assume such a u exists. Then  $u|0\rangle|0\rangle=|0\rangle|0\rangle$  and  $u|1\rangle|0\rangle=|1\rangle|1\rangle$ , therefore

$$u(\frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)|0\rangle) = \frac{1}{\sqrt{2}}u(|00\rangle) + \frac{1}{\sqrt{2}}u|10\rangle$$
$$= \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$$
$$\neq \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \otimes \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$$

C'est ce que je voulais!

# 3 Quantum complexity classes

# Definition 3.1 Circuit definition of P

 $L \in \mathbf{P}$  iff there exists a uniform<sup>a</sup> family of polynomial-sized circuits  $\mathcal{C} = \{C_n\}_{n\geqslant 0}$ , where n is the input length, such that  $\forall n, \forall x \in \Sigma^n, x \in L \iff C_{|x|}(x) = 1$ .

 $^{a}$ There exists a polynomial-time Turing machine taking n in unary and producing the circuit.

#### **Definition 3.2** Circuit definition of BPP

 $L \in \mathbf{BPP}$  iff there exists a uniform polynomial-size circuit family  $\mathcal{C} = \{C_n\}_{n\geqslant 0}$  with  $C_n$  having 2 inputs, x of length n and r of length  $n^{O(1)}$ , and such that

- $x \in L \Longrightarrow \mathbb{P}_r(C_{|x|}(x,r)=1) \geqslant \frac{2}{3}$
- $x \notin L \Longrightarrow \mathbb{P}_r(C_{|x|}(x,r)=1) \leqslant \frac{1}{3}$

# Definition 3.3 BQP

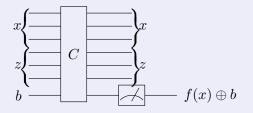
 $L \in \mathbf{BQP}$  iff there exists a uniform polynomial-size circuit  $\mathcal{Q} = \{C_n\}_{n\geqslant 0}$  such that

• 
$$\forall x \in L, \mathbb{P}(C_n \underbrace{|x\rangle \ |0\rangle}_{\text{input ancilla}} = 1) \geqslant \frac{2}{3}$$

•  $\forall x \notin L, \mathbb{P}(C_n | x) | 0) = 1) \leqslant \frac{1}{3}$ 

# **Definition 3.4** Quantum circuit

A quantum circuit C computes a (boolean) function f if it is in the following form:

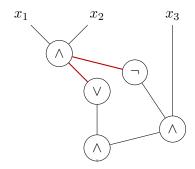


Theorem 3.1 Bernstein-Vazirani

#### $\mathbf{BPP} \subseteq \mathbf{BQP}$

#### Proof of theorem 3.1.

There are many problems to overcome when converting classical circuit to quantum ones, in partical how to deal with inputs and gate results needing to be used multiple times.



Also, the circuit is not reversible (not computing a unitary) and not in normal form as required. The solution is to use reversible Turing machines or reversible computation, which was introduced via thermodynamics of computation.

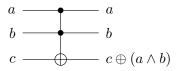
# Result 3.2 Bennet 1989

Any Turing machine computing in time T and space S can be implemented by a "reversible Turing machine" in time  $T^{1+\varepsilon}$  and space  $S\log T$ .

# Result 3.3 Fredkin and Toffoli, 1982

Ane circuit of depth d and width w can be simulated by a reversible circuit of depth  $d^{1+\varepsilon}$  and width  $w\log d$ .

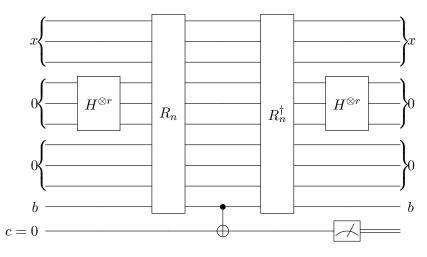
This is done by using the Toffoli gate:



The idea is, with  $L \in \mathbf{BPP}$  and  $\mathcal{C}$  a circuit family for L,

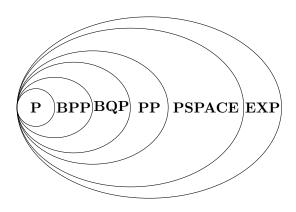
1. Transform  $C_n$  into  $R_n$  a reversible circuit that computes  $R_n(\underbrace{x,r},z,b)=x,r,z,b\oplus C_n(x,r)$ 

2. Produce "randomness"



It remains to show that the probability that the outcome is correct is greater than  $\frac{2}{3}$  for all inputs.

Voilà!



# 4 Quantum algorithms

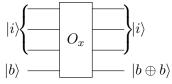
We will study query complexity. The complexity measure is the number of accesses that are made to the input in order to solve a problem.

In the classical query model we consider an input  $x_1...x_n$ .

- ullet Deterministically, we count 1 each time some variable  $x_i$  is fetched.
- In randomized algorithm, we count the expected number of times that the special operation  $i\mapsto x_i$  is executed. It acts like an oracle.

Definition 4.1 Quantum query model

We are given a unitary  $O_x$ .  $O_x|i\rangle|b\rangle=|i\rangle|x_i\oplus b\rangle$ 



Quantum circuits use an oracle model. The measure of complexity is the number of  $\mathcal{O}_x$  gates that are used in a circuit.

# 4.1 Deutsch-Jozra Algorithm

Consider the problem

Problem

Input:  $x \in \{0,1\}^N$  with  $N = 2^n$ .

**Promise:** one of the 2 cases occurs, with  $w_H$  the Hamming weight:

1. 
$$w_H(x) \in \{0, N\}$$

2. 
$$w_H(x) = \frac{N}{2}$$

i.e., x is either constant or balanced.

Output: which case was given as input.

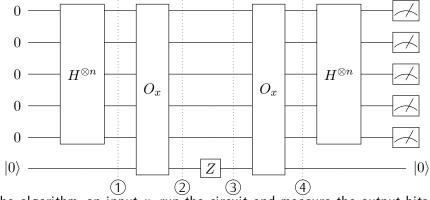
### Result 4.1 Lower bounds

- ullet Any deterministic algorithm must query at least  $\frac{N}{2}+1$  bits of the input.
- $\bullet$  For randomized algorithms, if k queries are made, then the error is at least  $2^{-k}$ .
- There is a quantum algorithm that makes no error and uses two quantum queries.

#### Proof of result 4.1.

#### **Proposition 4.2**

$$\begin{split} H^{\otimes n}|0...0\rangle &= \frac{\frac{1}{\sqrt{2}}}{\sum_{x\in\{0,1\}^n}}|x\rangle \\ H^{\otimes n}|x_1...x_n\rangle &= \frac{\frac{1}{\sqrt{2}}}{\sum_{x\in\{0,1\}^n}}(-1)^{x\cdot z}|x\rangle \\ \text{where } x\cdot z &= \sum_{i=1}^n(x_i\cdot z_i) \mod z. \end{split}$$



To complete the algorithm, on input x, run the circuit and measure the output bits. If the output is  $0^n$  return "constant" and else return "balanced".

1. 
$$\left(\frac{1}{\sqrt{2^n}}\sum_{i=0}^{2^n-1}|i\rangle\right)\otimes|0\rangle$$

$$2. \ \frac{1}{\sqrt{2^n}} \sum_{i=0}^{2^n - 1} |i\rangle |x_i\rangle$$

3. 
$$\frac{1}{\sqrt{2^n}} \sum_{i=0}^{2^n - 1} (-1)^{x_i} |i\rangle |x_i\rangle$$

4. 
$$\left(\frac{1}{\sqrt{2^n}}\sum_{i=0}^{2^n-1}(-1)^{x_i}|i\rangle\right)\otimes|0\rangle$$

Case 1. x is constant.

15 30 Then 4. is either  $|\psi\rangle \otimes 0$  or  $-|\psi\rangle \otimes |0\rangle$ , with  $|\psi\rangle = \frac{1}{\sqrt{2^n}} \sum |i\rangle$ .  $H^{\otimes n}|\psi\rangle = |0\rangle$  so at the end we measure  $0^n$ .

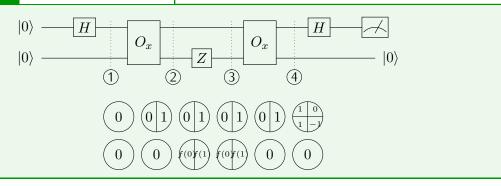
**Case** 2. *x* is balanced.

We claim that  $4 \perp |\psi\rangle$ , therefore  $H4 \perp H|\psi\rangle$ , which means that the probability of measuring  $0^n$  is 0.

$$\langle 4 | \psi \rangle = \left( \frac{1}{\sqrt{2^n}} \sum_{i} (-1)^{x_i} | i \rangle \right) \left( \frac{1}{\sqrt{2^n}} \sum_{j} | j \rangle \right)$$
$$= \frac{1}{2^n} \sum_{i} (-1)^{x_i}$$

Youpi!

#### Example 4.1 2 bits string as input



#### 4.2 Bernstein Vazirani

### Definition 4.2 Walsh-Hadamard code

$$\begin{array}{ccc} \{0,1\}^n & \longrightarrow & \{0,1\}^{2^n} \\ a & \longmapsto & (a\cdot 0^n, a\cdot 0^{n-1}1,...,a\cdot 1^n) \end{array}$$

#### **Problem**

Input:  $x \in \{0,1\}^N$  with  $N = 2^n$ 

**Promise:** x is a codeword in Walsh-Hadamard code, and  $\exists a \in \{0,1\}^n$  such that  $x_i = i \cdot a \mod 2$ (with bitwise boolean inner product)

Output: a

Classically, n queries suffice (the numbers of one bit), and n steps are necessary since this is a system of linear equations.

Quantumly, the same circuit as for DJ solves BV.

At the end of the circuit we have  $5=\frac{1}{\sqrt{N}}\sum_{B\in\{0,1\}^n}\left(\sum_i(-1)^{x_i}(-1)^{i\cdot B}\right)|B\rangle|0\rangle.$  Consider the coeff of  $|a\rangle$  in 5,  $\sum_i(-1)^{x_i}(-1)^{i\cdot a}=2^n=N.$  So all others are 0, and  $|a\rangle=5.$ 

#### 4.3 Simon's problem and algorithm

#### **Problem**

Input:  $X=[N]^N$  (a N-tuple of integers in  $\{0,...,N-1\}$ ) with  $N=2^n$ . Think instead of X as a function  $f(x) \in \{0,1\}^n$  with  $x \in \{0,1\}^n$ .

Promise:  $\exists a \in \{0,1\}^n$ 

- 1.  $\forall x, f(x) = f(x \oplus a)$  (with the bitwise XOR)
- 2.  $\forall x \neq y \oplus a, f(x) \neq f(y)$

$$f(000) = f(110) = 101$$
,  $x = 000$ ,  $a = 110$ ,  $x \oplus a = 110$ ,  $f(x) = f(x \oplus a) = 101$ .  $f(111) = f(001) = 001 \neq 101$ .

f matches pairs to the same value, and the pairs depend on a.

Output: a

#### Theorem 4.3

- 1. The randomized query complexity is  $\Theta(\sqrt{N})$ .
- 2. The quantum query complexity is  $\Theta(1)$  for a constant error probability.

#### Proof sketch for 1.

The idea is that the problem cannot be solved unless the algorithm makes two queries to x and y such that f(x) = f(y), so that  $a = x \oplus y$ .

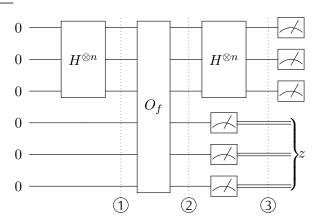
If the algorithm has made queries  $x_1,...,x_k$  such that  $f(x_1),...,f(x_k)$  are all distinct, how many possibilities for a are still viable? How many are roled out?  $\binom{k}{2}$ 

$$\mathbb{P}(\text{success in }\leqslant m \text{ queries})\leqslant \sum_{k=0}^{m-1}\mathbb{P}(\text{the first }k \text{ queries are distinct and the }k+1 \text{ is a collision})$$
 
$$\leqslant \sum_{k=0}^{m-1}\frac{k}{2^n-\binom{k}{2}} \xleftarrow{} \text{number of choices for }a \text{ among the }N-\binom{k}{2} \text{ remaining}$$
 
$$\leqslant \frac{m^2}{2^n-m^2}$$

This implies  $m \geqslant \sqrt{2^n}$ .

cskifo

#### Proof (Simon 93-94).



1. 
$$\frac{1}{\sqrt{N}} \sum_{x \in \{0,1\}^n} |x\rangle |0\rangle$$

2. 
$$\frac{1}{\sqrt{N}} \sum_{x \in \{0,1\}^n} |x\rangle |f(x)\rangle$$

In Simon's algorithm we have a hidden mask a of unknown  $a_1,...,a_n$ , and the first iteration has an outcome y such that  $a\cdot y\equiv 0\mod 2$  (with the bitwise inner product). Therefore n-1 linearly independent equations suffice to recover a.

At each step, the problem of getting  $y^{(k)} \in \text{span}\{y^{(1)},...,y^{(k-1)}\}$  increases. After k iterations where all the equations were linearly independent, the probability that the next iteration will not be in the span of the previous ones is  $\frac{2^n-2^k}{2^n} \geqslant \frac{1}{2}$ .

Therefore by running this t times the expectancy of number of successful runs is greater than  $\frac{t}{2}$ . By running it 4k times, by Markov inequality, the probability of success is greater than  $\frac{3}{4}$ .

In fact, a more precise calculation shows that the probability that k-1 successive runs all give a linearly independent y is greater than  $\frac{1}{4}$ . So to get a success probability greater than  $\frac{1}{2}$  we run the algorithm 3 times (so a total of 4(k-1) runs) and the probability of failing twice is  $\left(\frac{3}{4}\right)^3 = \frac{27}{64} < \frac{1}{2}$ .

Voilà!

# Grover's search algorithm

#### **Problem**

Input:  $f:\{0,1\}^n \to \{0,1\}$  (think of it as some  $X=\{0,1\}^N$  for  $N=2^n$ ) **Output:**  $x \in \{0,1\}^n$  such that f(x) = 1 or else,  $\bot$ 

The difficulty of finding 1 depends on the number of solutions.

This problem is often called unstructure search.

Let  $M = \#\{x \mid f(x) = 1\}$  be the number of solutions.

- $\bullet$  Classicaly,  $\Theta(N)$  queries are required.
- Quantumly,  $O(\sqrt{N})$  queries suffice to solve with probability  $\geq \frac{2}{3}$ .

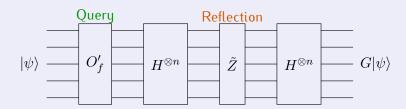
#### Definition 4.3 Variant of query unitary

We used to use  $O_f|x\rangle|b\rangle\longmapsto|x\rangle|f(x)\oplus b\rangle$ . Now we use instead  $O'_f|x\rangle \longmapsto (-1)^{f(x)}|x\rangle$ .

#### Exercise 4.1

Show that two applications of  $O_f$  suffice to implement  $O_f'$ . Hint: look at previous circuits.

#### **Definition 4.4** First iteration of Grover's algorithm



where

#### **Definition 4.5** Gate $\tilde{Z}$

 $ilde{Z}=2|0^n
angle\langle 0^n|-I$  is a conditional phase flip

$$\tilde{Z}:|x\rangle\longmapsto\left\{ egin{array}{ll} -|x
angle & \mbox{if }x
eq0^n \\ |x
angle & \mbox{if }x=0^n \end{array} 
ight.$$

# **Definition 4.6**

$$|\Psi\rangle = \frac{1}{\sqrt{N}} \sum_{x \in \{0,1\}^n} |x\rangle = H^{\otimes n} |0^n\rangle$$

#### Proposition 4.4

$$H^{\otimes} \tilde{Z} H^{\otimes n} = 2|\Psi\rangle\langle\Psi| - I$$

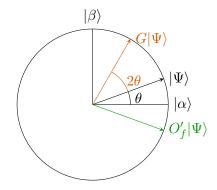
This is a reflection about  $|\Psi\rangle$ .

Consider

$$|\alpha\rangle = \frac{1}{\sqrt{N-M}} \sum_{\tilde{x}, f(\tilde{x})=0} |\tilde{x}\rangle \quad \text{and} \quad |\beta\rangle = \frac{1}{\sqrt{M}} \sum_{x, f(x)=1} |x\rangle.$$

 $|\alpha\rangle$  and  $|\beta\rangle$  are orthogonal and  $|\Psi\rangle$  is a linear combination of  $|\alpha\rangle$  and  $|\beta\rangle$ :

$$|\Psi\rangle = \frac{1}{\sqrt{N}} \sqrt{N-M} |\alpha\rangle + \frac{1}{\sqrt{N}} \sqrt{M} |\beta\rangle.$$



$$O'_f |\Psi\rangle = O'_f \frac{1}{\sqrt{N}} \sum_x |x\rangle$$
$$= \frac{1}{\sqrt{N}} \sum_x (-1)^{f(x)} |x\rangle$$

Let's compute  $\theta$  which is the angle between  $|\Psi\rangle$  and  $|\alpha\rangle$ .

$$\begin{split} |\Psi\rangle &= \cos\frac{\theta}{2}|\alpha\rangle + \sin\frac{\theta}{2}|\beta\rangle \\ &= \frac{\sqrt{N-M}}{\sqrt{N}}|\alpha\rangle + \frac{\sqrt{M}}{\sqrt{N}}|\beta\rangle \end{split}$$

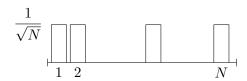
 $\sin\frac{\theta}{2} = \frac{\sqrt{M}}{\sqrt{N}} \text{ and } \theta = 2\arcsin(\frac{\sqrt{M}}{\sqrt{N}}, \text{ so, roughly, when } M \text{ is small, } \frac{\theta}{2} \approx \frac{\sqrt{M}}{\sqrt{N}}.$ 

#### Lemma 4.5

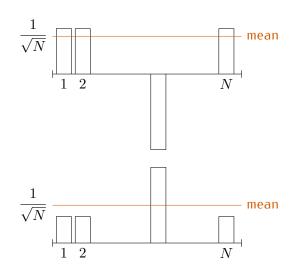
1. 
$$G|\Psi\rangle = \cos 3\theta 2|\alpha\rangle + \sin \frac{3\theta}{2}|\beta\rangle$$

2. 
$$G^k |\Psi\rangle = \cos \frac{(2k+1)\theta}{2} |\alpha\rangle + \sin \frac{(2k+1)\theta}{2} |\beta\rangle$$

Now we have to find k such that  $(2k+1)\frac{\theta}{2}\approx \frac{\pi}{2}$ . We find  $k\approx \left(\frac{\pi}{2}\frac{\sqrt{N}}{\sqrt{M}}-1\right)\frac{1}{2}$ , which is  $O(\sqrt{N})$ .



Make one query:



# Exercise 4.2

Given some function f, write a circuit that implements  $O_f$  or  $O_f'$  using Toffoli gates.

$$f: \bigvee_{x,f(x)=1} \left( \bigwedge_{x_i=1} x_i \right) \wedge \left( \bigwedge_{x_i=0} \overline{x_i} \right).$$

# Part II

# Frédéric Magniez

# 5 Extensions of GS

# 5.1 Unknown number of solutions

Suppose that there is an unknown number of solutions m. Let  $f:\{0,1\}^n \to \{0,1\}$  and  $N=2^n.$ 

#### Theorem 5.1

There exists a quantum algorithm that gives x such that f(x)=1 with expected number of queries  $O\left(\sqrt{\frac{N}{m}}\right)$ .

### Theorem 5.2

Assume m=0 or  $m \geqslant m_0$ .

Then there exists a quantum algorithm with number of queries  $O\left(\sqrt{\frac{N}{m_0}}\right)$  and high probability of success ( $\geqslant \frac{9}{10}$ ).

Proof of theorem 5.2.

#### **Algorithm**

If  $m_0 \geqslant \frac{N}{4}$ , do random search with 5 queries.

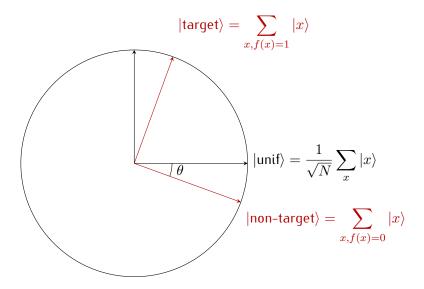
Compute  $k_0$  the number of iteration in GS with  $m=m_0$ .

Let  $k \in [0, 100k_0]$  be a random number.

Run GS with k iterations.

#### Claim 5.3

If  $m \geqslant m_0$  the success probability is almost greater than  $\frac{1}{2}$ .



#### Proof of claim 5.3.

 $|\mathsf{unif}\rangle = \sin\theta |\mathsf{target}\rangle + \cos\theta |\mathsf{non-target}\rangle$ 

 $G^t|\text{unif}\rangle = (\sin(2t+1)\theta)|\text{target}\rangle + (\cos(2t+1)\theta)|\text{non-target}\rangle$ 

 $\langle \operatorname{target} | G^t | \operatorname{unif} \rangle = \sin(2t+1)\theta$ 

So the success probability after t steps in  $(\sin(2t+1)\theta)^2$ .

Therefore the success probability is

$$\mathbb{E}_{k \in [0,100k_0]}(\sin(2k+1)\theta)^2 \sim \int_0^{\gg \pi} \sin^2 x \, dx \sim \frac{1}{2}.$$

C'est ce que je voulais!

Voilà!

#### Theorem 5.4

Given two parameters  $\varepsilon>0$  and  $\delta>0$ , there exists a quantum algorithm that computes  $\tilde{m}$  such that  $|m-\tilde{m}|\leqslant \varepsilon m$ , with a number of queries  $O\left(\sqrt{\frac{N}{m}}\frac{1}{\varepsilon}\log\frac{1}{\delta}\right)$  and with a probability of success  $\geqslant 1-\delta$ .

# 5.2 Applications

# **Definition 5.1** Quantum time

The measure of time in a quantum algorithm is the number of gates in it.

#### Example 5.1 SAT

Let  $\varphi = c_1 \wedge ... \wedge c_m$ , over n variables. We search for  $x \in \{0,1\}^n$  such that  $\varphi(x) = 1$ . A classical algorithm computes in time  $O(2^n)$ .

By using GS with  $f = \varphi$ , the quantum time is  $O((n+m)\sqrt{2^n})$  and the number of qubits is O(n+m).

# Example 5.2 Collision finding

## **Definition 5.2** Collision finding

Input:  $H:[N] \to [N]$  a 2-to-1 function  $(\forall x \exists ! y \neq x, H(x) = H(y)$ Output:  $x \neq y$  such that H(x) = H(y)

A first attempt could be to consider  $f:x\longmapsto \left\{ egin{array}{ll} 1 & \mbox{if } H(x)=H(0) \mbox{ and } x\neq 0 \\ 0 & \mbox{otherwise} \end{array} \right.$ 

The problem is that it uses  $O(\sqrt{N})$  queries to H.

But there exists a random algorithm with same complexity.

### Algorithm

Take at random  $x_1,...,x_k$  Query  $H(x_1),...,H(x_k)$  Output a collision in  $x_1,...,x_k$  if any

It can still be improved by using a classical space polynomial in  $\log N$  by a quantum algorithm:

#### Algorithm

```
S = \llbracket 0, k-1 \rrbracket Query H on S (If there is already a collision in S, stop) GS x \in [N] \setminus S = \llbracket k, N-1 \rrbracket such that H(x) \in H(S). That is f: x \longmapsto \begin{cases} 1 & \text{if } H(x) \in S \text{ and } x \notin S \\ 0 & \text{otherwise} \end{cases} with m=k.
```

The total number of queries to H is  $k + O(\sqrt{\frac{N}{k}})$  and the time complexity is  $O(\sqrt[3]{N} \operatorname{poly}(N))$ .

# Example 5.3 Exact traveling salesman

Consider a graph with n vertices.

The random time complexity is  $O(2^n \text{poly}(n))$ .

The quantum time complexity is  $O((1,728)^n \text{poly}(n))$ .

# 5.3 Amplitude amplification

#### Theorem 5.5

Given a random or quantum algorithm A finding x such that f(x)=1 with success probability  $\geqslant \varepsilon$  (if there is any).

Then there is a quantum algorithm B such that

- ullet B is made of
  - $O(\frac{1}{\sqrt{\epsilon}}\log\frac{1}{\delta})$  blocks of quantum version of A and its inverse
  - $O(\frac{1}{\sqrt{\varepsilon}}\log\frac{1}{\delta})$  queries to f
  - $O(\frac{1}{\sqrt{\varepsilon}}\log\frac{1}{\delta} \times \text{input size})$  qubits and gates.
- ullet B outputs x such that f(x)=1 with probability  $1-\delta$  (if there is any).

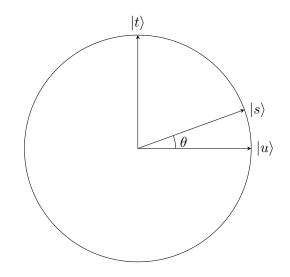
#### Proof of theorem 5.5.

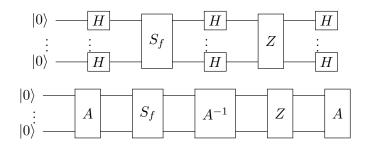
The quantum version of A is  $A|0...0\rangle=\sum_x \alpha_x|x\rangle|\psi_x\rangle$  such that  $\sum_{x,f(x)=1}|\alpha_x|^2\geqslant \varepsilon.$ 

$$|t\rangle = \frac{1}{\sqrt{\mu}} \sum_{x,f(x)=1} \alpha_x |x\rangle |\psi_x\rangle$$

$$|u\rangle = \frac{1}{\sqrt{1-\mu}} \sum_{x,f(x)=0} \alpha_x |x\rangle |\psi_x\rangle$$

$$\sin \theta = \sqrt{u} \geqslant \sqrt{\varepsilon}$$





Youpi!

# 5.4 Application

# Example 5.4 3SAT

Let  $\varphi = c_1 \wedge ... \wedge c_m$  with  $c_i$  composed of 3 variables.

GS finds a solution in time  $O((\sqrt{2})^n \text{poly}(n, m))$ .

There exists a random algorithm in time  $O((\frac{4}{3})^n \text{poly}(n, m))$ .

It is the Schoning algorithm:

# Schoning algorithm

Take a random  $a \in \{0,1\}^n$ .

Start a random local search of 3n steps. Stop if  $\varphi(a)=1$ .

### Theorem 5.6

The probability that this algorithm find a solutino with 3n steps is  $\geqslant (\frac{3}{4})^n$ .

Corollary 5.7

Amplitude amplification applied like this gives a quantum algorithm with time  $(\sqrt{\frac{4}{3}})^n \operatorname{poly}(n,m)$ .

# **Example 5.5** Element distinction

Consider  $H:[N] \to [N]$ . We want to find  $i \neq j$  such that H(i) = H(j) if there are any.

# Algorithm

GS:  $\sqrt{\frac{N^2}{1}} = N$  queries

Quantum algorithm:

- ullet Take S composed of k elements in [N] at random.
- ullet Query H on S (and stop if there is a collision)  $\longrightarrow k$  queries
- $\bullet$  GS for a colision in  $[N] \setminus S$  with  $S \longrightarrow \sqrt{N}$  queries

The probability of success is  $\varepsilon = \mathbb{P}_S(\exists i \in S, \exists j \in [N] \setminus S, H(i) = H(j)) \sim \frac{2k}{N}$ .

By using amplitude amplification on this algorithm uses  $(k+\sqrt{N})\sqrt{\frac{N}{k}}$  queries. With  $k=\sqrt{N}$  it makes  $N^{3/4}$  queries.

# Example 5.6 Quantum optimization

#### **Problem**

Let  $f:[N] \to [R]$  with R = poly(N).

Find x such that f(x) it minimum.

# Algorithm

Take  $x \in [N]$  at random.

Use GS to find y such that f(y) < f(x) (with success probability  $\geqslant 1 - 1/N$ ).

If none was found output  $\boldsymbol{x}$  and stop.

Else start again with  $x \leftarrow y$ .

The worst case uses N iterations.

The average case consider f is injective. It uses  $\sqrt{2} + \sqrt{4} + \sqrt{8} + ... + \sqrt{N}$  iterations.

$$\begin{array}{c|ccccc} \frac{NN}{168} & \frac{N}{4} & & \frac{N}{2} \\ - & + & + & + & + \end{array}$$

#### Theorem 5.8

The expected number of queries is  $O(\sqrt{N})$ , with a success probability  $1-\sqrt{1}\sqrt{N}$ .

# Example 5.7 Fourier transform

# Definition 5.3 Simon's problem (recap)

$$\begin{aligned} f: \{0, 1\} &\to \mathbb{R} \\ \exists ! s, f(x) &= f(y) \Leftrightarrow x \end{aligned}$$

 $\exists ! s, f(x) = f(y) \Leftrightarrow x = y \oplus s \text{ or } x = y$ 

where  $x \oplus y = (x_i \oplus y_i)_i = (x_i + y_i \mod 2)_i$ 

 $((\mathbb{Z}_2)^n,\oplus)$  is a group

Case 1.  $(\mathbb{Z}_2)^n$ 

 $H^{\otimes n}$  is a (quantum) Fourier transform over  $(\mathbb{Z}_2)^n$ :

$$\sum_{x \in \{0,1\}^n} \alpha_x |x\rangle \longmapsto \sum_{y \in \{0,1\}^n} \widehat{\alpha}_y |y\rangle$$

where  $\widehat{\alpha}_y = \frac{1}{\sqrt{2^n}} \sum_{x \in \{0,1\}^n} (-1)^{x \cdot y} \alpha_x$ , and  $x \cdot y = \sum x_i y_i \mod 2$ .

Case 2.  $\mathbb{Z}_N$ 

### **Definition 5.4** Quantum Fourier Transform (QFT)

Define 
$$\operatorname{QFT}_N$$
 by  $\sum_{x=0}^{N-1} \alpha_x |x\rangle \longmapsto \sum_{y=0}^{N-1} \widehat{\alpha}_y |y\rangle$ , where  $\widehat{\alpha}_y = \frac{1}{\sqrt{N}} \sum_x \omega_N^{x \cdot y} \alpha_x$  and  $\omega_N = e^{\frac{2i\pi}{N}}$ .

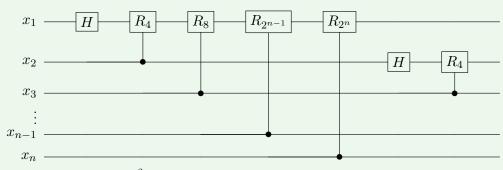
#### Remark 5.1

For 
$$N=2^n$$
,  $\mathrm{QFT}_N|0\rangle=\frac{1}{\sqrt{N}}\sum_{y=0}^{N-1}|y\rangle=H^{\otimes n}|0\rangle.$ 

$$\text{When } N = 2^n \text{, } \mathrm{QFT}_N |x\rangle = \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} \omega_N^{x \cdot y} |y\rangle = \frac{1}{\sqrt{N}} \sum_{(y_1, \dots, y_n) \in \{0,1\}^n} w_N^{x(y_1 2^{n-1} + y_2 2^{n-2} + \dots + y_n)} |y_1 \dots y_n\rangle.$$

#### Fact 5.9

$$\begin{split} \mathrm{QFT}_{2^n}|x\rangle &= \frac{1}{\sqrt{2^n}}(|0\rangle + \omega_{2^n}^{2^{n-1}x}|1\rangle) \otimes (|0\rangle + \omega_{2^n}^{2^{n-2}x}|1\rangle) \otimes \ldots \otimes (|0\rangle + \omega_{2^n}^{x}|1\rangle) \\ &= \frac{1}{\sqrt{2^n}}(|0\rangle + \omega_2^x|1\rangle) \otimes (|0\rangle + \omega_{2^n}^x|1\rangle) \otimes \ldots \otimes (|0\rangle + \omega_{2^n}^x|1\rangle) \\ &= \frac{1}{\sqrt{2^n}}(|0\rangle + \omega_2^{x_n}|1\rangle) \otimes (|0\rangle + \omega_{2^n}^{2x_{n-1}+x_n}) \otimes \ldots \otimes (|0\rangle + \omega_{2^n}^x|1\rangle) \\ \mathrm{where} \ x = x_1 2^{n-1} + x_2 2^{n-2} + \ldots + x_n. \end{split}$$



The number of gates is  $\sim \frac{n^2}{2}$  and the depth is n.

With error  $\varepsilon>0$  there exists a circuit with  $O(n\log(\frac{n}{\varepsilon})$  gates and a depth of  $O(\log n + \log\log\frac{1}{\varepsilon})$ . In the general case, with  $n=\log N$ , we have the exact value with  $O(n^2)$  gates and a depth of O(n) and with error  $\varepsilon>0$ , with  $O(n\log\frac{n}{\varepsilon}+\log^2\frac{1}{\varepsilon})$  gates and a depth of  $O(\log n + \log\log\frac{1}{\varepsilon})$ .

# Example 5.8 Phase optimisation

#### Input:

- $\bullet$  quantum state  $|\psi\rangle$  on n qubits
- acces to unitary

Promises:  $|\psi\rangle=e^{i\alpha}|\psi\rangle$ 

**Goal:** Find  $\alpha$ 

Case 1.  $\alpha = \frac{2i\pi x}{2^n}$  with  $x \in \{0, 1, ..., 2^n - 1\}$ .

The output is

$$\frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^{n-1}} (\bigsqcup |\psi\rangle) |y\rangle = \frac{1}{\sqrt{2^n}} \sum_{y=0}^{2^{n-1}} \omega_{2^n}^{x \cdot y} |\psi\rangle \otimes |y\rangle$$
$$= |\psi\rangle \otimes \text{QFT}_{2^n} |x\rangle$$

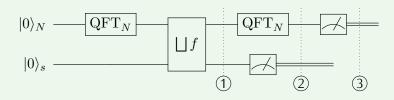
So we just apply  $\operatorname{QFT}_{2^n}^{-1}$  to get  $|x\rangle$ .

# Example 5.9 Period finding

**Input:**  $f: \mathbb{Z}_N \longrightarrow S$  where S is of size polynomial in N

**Promise:**  $\exists ! r \in \mathbb{Z}_N$  such that  $\forall x, y \in \mathbb{Z}_N, f(x) = f(y) \Leftrightarrow r \mid (x - y) \Leftrightarrow y - x \in r\mathbb{Z}$ 

Goal: Find r



1. 
$$\frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} |x\rangle |f(x)\rangle$$

2. We measure "Z". Fix  $a \in [0, r-1]$  such that f(a) = Z.

$$\sum_{x,f(x)=f(a)} |x\rangle |f(a)\rangle = \sqrt{\frac{r}{N}} \sum_{k=0}^{\frac{N}{r}-1} |a+kr\rangle |f(a)\rangle$$

3.

$$\frac{\sqrt{r}}{N} \sum_{y=0}^{N-1} \left( \sum_{k=0}^{\frac{N}{r}-1} \omega_N^{y(a+kr)} \right) |y\rangle = \frac{\sqrt{r}}{N} \sum_{y=0}^{N-1} \omega_N^{ya} \cdot \left( \sum_{k=0}^{\frac{N}{r}-1} \omega_N^{ykr} \right) |y\rangle$$
$$= \frac{1}{\sqrt{r}} \sum_{t=0}^{r-1} \omega_N^{tNa} |\frac{tNa}{r}\rangle$$

#### Lower bounds on query complexity 6

Given  $F: \{0,1\}^N \longrightarrow \{0,1\}$  a total function (defined everywhere), we want to solve the problem

**Input:**  $x \in \{0,1\}^N$  with query access only

Output: F(x)

We define the deterministic complexity of F as follows

$$D(F) := \min_{\substack{\text{deterministic} \\ \text{algo computing } F}} \max_{x \in \{0,1\}^N} \# \text{Queries}(A,x)$$

its random complexity as follows

$$R_{\varepsilon}(F) := \min_{\substack{\text{random algo} \\ \text{computing } F \\ \text{with error } \leqslant \varepsilon}} \max_{x \in \{0,1\}^N} \# \mathrm{Queries}(A,x)$$

and its quantum complexity as follows

$$Q_{\varepsilon}(F) := \min_{\substack{\text{quantum algo } x \in \{0,1\}^N \\ \text{computing } F \\ \text{with error } \leqslant \varepsilon}} \max_{x \in \{0,1\}^N} \# \text{Queries}(A,x)$$

#### Fact 6.1

$$N \geqslant D(F) \geqslant R_{\varepsilon}(F) \geqslant Q_{\varepsilon}(F)$$

Usually,  $\varepsilon = \frac{1}{3}$  and we don't write it.

#### Theorem 6.2

For any total function  $F:\{0,1\}^N\longrightarrow \{0,1\}$ ,  $D(F)\leqslant R^3(F)$  and  $D(F)\leqslant Q^4(F)$ .

#### Corollary 6.3

In order to get exponential separation, one needs to consider partial functions  $F:D\longrightarrow \{0,1\}$ with  $D \subseteq \{0,1\}^N$ , like in the algorithms we saw.

#### 6.1 Polynomial method

#### Theorem 6.4 Main theorem

Let A be a T-query quantum algorithm for F with error  $\leq \varepsilon$ . Then there is a N-variable (real) polynomial P of degree  $\leq 2T$  such that  $\forall x \in \{0,1\}^N, |P(x)-P(x)| = 1$  $|F(x)| \leq \varepsilon$ .

#### Proof of theorem 6.4.

#### Lemma 6.5

Let A be a T-query quantum algorithm for F with error  $\leq \varepsilon$ . Then its final state can be written as  $|\psi^x\rangle - \sum_z \alpha_z(x)|z\rangle$  where  $\alpha_z$  is an N-variable (complex) polynomial of degree  $\leq T$ .

#### Proof of lemma 6.5.

The proof is by induction of the step of the algorithm.

$$\sum_{z} \alpha_{z} |z\rangle \longrightarrow \boxed{\square} \boxed{O} \longrightarrow \boxed{V}$$

$$\sum_{z} \beta_{z} |z\rangle$$

 $eta_z = \sum_{z'} U_{zz'} lpha_{z'}$  so U and V do linear combination of coefficients, so the degree does not increase. For O,  $|i,b,w\rangle \longmapsto |i,b\oplus x_i,w\rangle$ , so

$$|i, 0, w\rangle \longmapsto (1 - x_i)|i, 0, W\rangle + x_i|i, 1, w\rangle$$
  
 $|i, 1, w\rangle \longmapsto x_i|i, 0, W\rangle + (1 - x_i)|i, 1, w\rangle$ 

So  $\sum_{i,b,w} \alpha_{i,b,w} |i,b,w\rangle \longrightarrow \sum_{i,b,w} \beta_{i,b,w} |i,b,w\rangle$  where  $\left\{ \begin{array}{l} \beta_{i,0,w} = (1-x_i)\alpha_{i,0,w} + x_i\alpha_{i,1,w} \\ \beta_{i,1,w} = x_i\alpha_{i,0,w} + (1-x_i)\alpha_{i,1,w} \end{array} \right.$ If  $\alpha$  are degree t polynomials, the  $\beta$  are degree t+1 polynomials.

Voilà!

Assume the output is the first bit. Let  $|\psi\rangle = \sum \alpha_z |z\rangle$  b the final state.

$$P(x) = \mathbb{P}(A \text{ outputs } 1) = \sum_{z \text{ starts with } 1} \alpha_z^* \alpha_z$$

but all  $\alpha_z$  and  $\alpha_z^*$  are degree T polynomials. So P(x) is a 2T-degree polynomial, and  $\forall x, |P(x) - F(x)| \leq \varepsilon$ .

Voilà !

#### **Definition 6.1**

Let  $\deg_{\varepsilon}(F)$  be the minimum degree of N-variable (real) polynomial P such that  $\forall x \in \{0,1\}^N, |P(x)-F(x)| \leqslant \varepsilon$ .

#### Corollary 6.6

 $Q_{\varepsilon}(F) \geqslant \frac{1}{2} \deg_{\varepsilon}(F)$  and  $R_{\varepsilon}(F) \geqslant \deg_{\varepsilon}(F)$ .

# 6.2 Case of symmetric functions

#### **Definition 6.2** Symmetric function

F is symmetric if  $\forall \sigma \in \mathfrak{S}_N, \forall x \in \{0,1\}^N, F(x) = F(x_{\sigma(1)},...,x_{\sigma(N)}) =: F(\sigma(x)).$ 

#### Fact 6.7

If F is symmetric, there exists  $G: [1, N] \longrightarrow \{0, 1\}$  such that  $\forall x \in \{0, 1\}^N, F(x) = G(|x|)$ .

#### Theorem 6.8 Main theorem bis

Suppose F is symmetric.

Let A be a T-query quantum algorithm for F with error  $\leq \varepsilon$ .

Then there exists a 1-variable polynomial q of degree  $\leqslant 2T$  such that  $\forall i \in [1, N], |q(i) - G(i)| \leqslant \varepsilon$ .

# **Definition 6.3** Symmetrisation

$$\tilde{P}(x) := \frac{1}{n!} \sum_{\sigma \in \mathfrak{S}_n} P(\sigma(x))$$

 $\tilde{P}$  is symmetric and a polynomial of degree  $\leqslant 2T$ , and  $\forall x, |\tilde{P}(x) - F(x)| \leqslant \varepsilon$ .

#### Fact 6.9

There exists a 1-variable polynomial q of degree  $\leq 2T$  such that  $\forall x, P(x) = q(|x|)$ .

#### **Example 6.1** Application to PARITY

PARITY
$$(x) = \bigoplus x_i = \begin{cases} 1 & \text{if } \#\{i \mid x_i = 1\} \text{ is even} \\ 0 & \text{otherwise} \end{cases}$$

With  $\varepsilon=\frac{1}{3}$ , if  $|q(|x|)-\operatorname{PARITY}(x)|\leqslant \frac{1}{3}$ , then  $q(0)\geqslant \frac{2}{3}$ ,  $q(1)\leqslant \frac{1}{3}$ ,  $q(2)\geqslant \frac{2}{3}$ ,... then  $(q-\frac{1}{2})(0)>0$ ,  $(q-\frac{1}{2})(1)<0$ ,..., so  $q-\frac{1}{2}$  has N distinct roots, so  $\deg(q-\frac{1}{2})\geqslant N$ . So  $Q_{\frac{1}{3}}(\operatorname{PARITY})\geqslant \frac{N}{2}$ .

#### **Definition 6.4**

$$\Gamma(F) = \min\{|2k - N + 1| \, | \, G(k) \neq G(k+1)\}$$

Theorem 6.10 Paturi's theorem (1992)

Let F be symmetric non constant. Then  $\deg_{\frac{1}{3}}(F) = \Theta(\sqrt{N(N-\Gamma(F))}).$ 

#### Corollary 6.11

$$Q_{\frac{1}{3}}(F) = \Omega(\sqrt{N(N - \Gamma(F))})$$

There is even a stronger result.

#### Theorem 6.12

Let F be symmetric non constant. Then  $Q_{\frac{1}{3}}(F) = \Theta(\sqrt{N(N-\Gamma(F))}).$ 

#### Corollary 6.13

$$R_{\frac{1}{3}}(F)\leqslant Q_{\frac{1}{3}}^2(F)$$

Using the same tools one can prove that  $Q_{\varepsilon}(\operatorname{CollisionFinding}) = \Omega(N^{\frac{1}{3}}).$ 

# 6.3 Adversary method

# 6.3.1 Measure of progress

Fix a quantum algorithm with T queries, computing F with error  $\varepsilon$ . Define  $|\psi^x_t\rangle$  the state of the algorithm before the  $(t+1)^{\text{th}}$  query.  $|\psi^x_T\rangle$  is the final state. Fix  $R\subseteq\{0,1\}^n\times\{0,1\}^n$  or  $(D\times D \text{ if } F \text{ is partial})$  such that  $(x,y)\in R$  implies  $F(x)\neq F(y)$ . Define  $W_t=\sum_{(x,y)\in R}\langle\psi^x_t\,|\,\psi^y_t\rangle$ .

- 1.  $W_0 = |R| (|\psi_0^x\rangle = |\psi_0^y\rangle = \sqcup_0 |0...0\rangle)$
- 2.  $F(x) \neq F(y)$  also has error  $\leqslant \varepsilon$  implies  $|\langle \psi_T^x \, | \, \psi_T^y \rangle| \leqslant 2\sqrt{\varepsilon(1-\varepsilon)} \; (|\psi_T^x \rangle$  is almost  $\bot$  to  $|\psi_t^y \rangle$ ), so  $W_T \leqslant 2\sqrt{\varepsilon(1-\varepsilon)}|R|$ .

So if  $\forall t, W_t - W_{t+1} \leqslant \Delta$  then  $T \geqslant (1 - 2\sqrt{\varepsilon(1-\varepsilon)})\frac{|R|}{\Delta}$ .

# 6.3.2 Bound $\Delta$

$$W_{t} - W_{t+1} = \sum_{(x,y)\in R} |\langle \psi_{t}^{x} | \psi_{t}^{y} \rangle| - |\langle \psi_{t+1}^{x} | \psi_{t+1}^{y} \rangle|$$

$$\leq \sum_{(x,y)\in R} |\langle \psi_{t}^{x} | \psi_{t}^{y} \rangle - \langle \psi_{t+1}^{x} | \psi_{t+1}^{y} \rangle|$$

Fix  $(x,y) \in R$ .  $F(x) \neq F(y)$  so  $x \neq y$ .

$$|\psi_t\rangle^x$$
 —  $O^x$   $\sqcup_{t+1}$  —  $|\psi_{t+1}^x\rangle$ 

$$\langle \psi_{t+1}^{x} | \psi_{t+1}^{y} \rangle = \langle \psi_{t}^{x} O^{x} \sqcup_{t+1} | \sqcup_{t+1} O^{y} \psi_{t+1}^{y} \rangle$$
$$= \langle \psi_{t}^{x} O^{x} | O^{y} \psi_{t+1}^{y} \rangle$$
$$= \langle \psi_{t}^{x} | O^{x} O^{y} | \psi_{t+1}^{y} \rangle$$

So

$$\left|\left\langle \psi_{t}^{x} \mid \psi_{t}^{y} \right\rangle - \left\langle \psi_{t+1}^{x} \mid \psi_{t+1}^{y} \right\rangle\right| = \left|\left\langle \psi_{t}^{x} \mid (\operatorname{Id} - O^{x} O^{y}) \mid \psi_{t}^{x} \right\rangle$$

There is a special case when  $(x,y) \in R$  implies  $\exists !i, x_i \neq y_i$ . When  $j \neq i$ ,  $O^x O^y | j, b, w \rangle = \operatorname{Id}(j,b,w)$ , and when j=i,  $O^x O^y | i,b,w \rangle = (i,1 \uplus b,w)$ .

$$\begin{split} |\langle \psi^x_t \, | \, \mathrm{Id} - O^x O^y \, | \, \psi^y_t \rangle| &= |\langle \psi^x_t \, | \, \mathrm{Id} - O^{(i)} \, | \, \psi^y_t \rangle \\ |\psi^x_t \rangle &= \sum_j \alpha^x_{t,j} |j,z\rangle \text{ and } |\psi^y_t \rangle &= \sum_j \alpha^y_{t,j} |j,z\rangle \\ \mathrm{So \ for } \, j \neq i, \, (\mathrm{Id} - O^{(i)} |j\rangle |\psi_j \rangle &= 0 \\ |\langle \psi^x_t \, | \, \mathrm{Id} - O^{(i)} \, | \, \psi^y_t \rangle &= |\langle \psi^x_t \, | \, (\mathrm{Id} - O^{(i)}) \, | \, \alpha^y_{t,i} |i\rangle |\psi^y_i \rangle | \\ &= \overline{alpha_{t,i}}^y \langle i |\langle \psi^x_i \, | \, (\mathrm{Id} - O^{(i)}) \alpha^y_{t,i} |i\rangle |\varphi^y_i \rangle | \qquad = \leqslant 2 |\alpha^x_{t,i} \alpha^y_{t,i} |\psi^y_t \rangle \end{split}$$

So in this special case,  $W_t - W_{t+1} \leqslant 2 \sum_{i=1}^N \sum_{(x,y) \in R, x_i \neq y_i} |\alpha_{t,i}^x \alpha_{t,i}^y|$ .

#### Corollary 6.14

$$T \geqslant (\frac{1}{2} - \sqrt{\varepsilon(1-\varepsilon)} \frac{|R|}{\max_{t} \sum_{i} \sum_{(x,y) \in R, x_{i} \neq y_{i}} |\alpha_{t,i}^{x} \alpha_{t,i}^{y}|}$$

### Example 6.2 Application to OR

 $R = \{(0,...,0)\} \times \{(1,0,...,0), (0,1,0,...,0),..., (0,...,0,1)\} \text{ so } |R| = N.$  Fix t.

$$\begin{split} \sum_{i=1}^{N} |\alpha_{t,i}^{O^N} \alpha_{t,i}^{(i)}| &\leqslant \sum_{i=1}^{N} |\alpha_{t,i}^{O^N}| \times 1 \\ &\leqslant \sqrt{\sum_{i=1}^{N} |\alpha_{t,i}^{O^N}|^2} \times \sqrt{\sum_{i=1}^{N} 1} \\ &\leqslant \sqrt{N} \end{split}$$

So  $T\geqslant (\frac{1}{2}-\sqrt{\varepsilon(1-\varepsilon)})\sqrt{N}.$ 

# 6.4 Simulation of a quantum circuit

Consider the following problem.

**Input:** n classical bits  $x \in \{0,1\}^n$ , quantum circuit on n qubits wih T 3-qubits gates

**Output:** n random bits distributed y distributed as the measure j  $c|x\rangle$ .

We consider a simplification, with only gates NOT, C-NOT, Toffoli and Hadamard.

Algorithm Schrödinger approach

Compute step by step the amplitute of state after each gate.

Its time complexity is  $O(T+2^n)$  and its space complexity is the amplitude of type  $\frac{a}{2^{k/2}}$  where k is the number of Hadamard gates and  $a \in [-2^{k/2}, 2^{k/2}]$ , so  $O(k2^n) = O(T2^n)$ .

#### Corollary 6.15

#### $\mathbf{BQP} \subseteq \mathbf{EXPTIME}$

We can also apply the same method to random algorithms by replacing the Hadamard gate by  $CF\left(\begin{array}{cc} 1/2 & 1/2 \\ 1/2 & 1/2 \end{array}\right)$ .

But there is a stronger result.

Proposition 6.16

 $\mathbf{BQP} \subseteq \mathbf{PSPACE} = \mathbf{QSPACE}$ 

And furthermore

Proposition 6.17

 $\mathbf{BQP}\subseteq\mathbf{PP}$ 

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